

FORM PTO-1083

PATENT

Case Docket No. NIT-156-05

In RE application of T. KATO et al

Serial No.: 10/787,086

Group Art Unit: 2874

Filed: February 27, 2004

Examiner: S. Song

For: OPTICAL MODULE, METHOD FOR MANUFACTURING OPTICAL MODULE
AND OPTICAL COMMUNICATION APPARATUSAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

| | (COL. 1) | | (COL. 2) | | (COL. 3) |
|--|---|-------|---------------------------------------|---|------------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | | Present Extra |
| Total | * 10 | Minus | ** 20 | = | 0 |
| Indep. | * 2 | Minus | *** 3 | = | 0 |
| <input type="checkbox"/> First Presentation of Multiple Dependent Claims | | | | | |

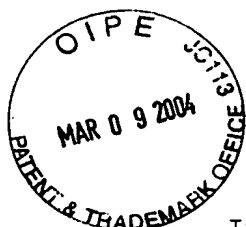
| SMALL ENTITY | |
|--------------|-------------------|
| Rate | Additional Fee |
| x 9 | \$ |
| x 42 | \$ |
| + 140 | \$ |
| Total | \$ |

| OTHER THAN A SMALL ENTITY | |
|------------------------------|-------------------|
| Rate | Additional Fee |
| x 18 | \$ 0 |
| x 84 | \$ 0 |
| + 280 | \$ 0 |
| Total | \$ 0 |

- * If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.
- ** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.
- *** If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.
- The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 50-1417 in the amount of \$_____.
- ☐ A check in the amount of \$_____ is attached in payment of:_____.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any Extension of Time fees that are necessary, which are hereby requested if necessary.

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NIT-156-05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

T. KATO et al

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AND OPTICAL COMMUNICATION APPARATUS

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22314

March 9, 2004

Sir:

Prior to examination, please amend the above-identified
application as follows.